

NC STATE RISK GOVERNANCE RESEARCH SYMPOSIUM | MAY 17-18 2023

Symposium Co-organizers

Nathan Goldman
Robert Whited

ERM Initiative Leadership Team

Mark Beasley
Bruce Branson
Ericka Kranitz
Don Pagach

ERM Initiative Program Manager

Thuy Nguyen

WEDNESDAY, MAY 17TH

Time	Topic Speakers (*Presenting Author)
11:30 am	Transportation provided from the Renaissance Raleigh North Hills Hotel to TowneBank Towers, NC State Carter Finley Stadium
12:00 - 1:00 pm	LUNCH
1:00 - 1:15 pm	Welcome and Introductions Nathan Goldman NC State University

RISK GOVERNANCE CHALLENGES: MANAGING HUMAN CAPITAL

Moderator: Nathan Goldman | NC State University

Practitioner Insights: Amy Robertson | CEO | Soul Advantage

Amy Robertson is an experienced leader of talent and human capital functions, having led a number of human resource efforts at a number of organizations, including Channel Advisor, Red Hat, ServiceTrade, a growing SaaS company focused on software for commercial service contractors. She is now CEO of Soul Advantage, which provides fractional human resource executive services for growing companies. Amy will share real-world insights about the challenges and opportunities for organizations as they navigate human capital risks.

1:15 - 2:45 pm
SESSION 1

Paper #1: Going Remote? The Role of Labor Market Competitions

Authors:

Chad Ham | Indiana University*
Rebecca Hann | University of Maryland
Wenfang Wang | City University of Hong Kong
Jingwen Yang | University of Maryland

Paper #2: Tax-Induced Organizational Complexity and Executive Performance Management

Authors:

John Gallemore | University of North Carolina at Chapel Hill
Eva Labro | University of North Carolina at Chapel Hill
Ginger Scanlon | University of North Carolina at Chapel Hill*

2:45 - 3:00 pm

BREAK

RISK GOVERNANCE CHALLENGES: ANTICIPATING EMERGING UNCERTAINTIES

Moderator: Rob Whited | NC State University

Practitioner Insights: Brenda Boulwood | Director, Office of Risk Management | International Monetary Fund

Brenda Boulwood has served in a number of key risk management roles in organizations including Constellation Energy, JPMorgan Chase, and Bank One and she currently leads the Office of Risk Management at the International Monetary Fund (IMF). Brenda will share insights from her experiences about the challenges of anticipating emerging uncertainties in a rapidly changing world.

3:00 - 4:35 pm
SESSION 2

Paper #3: The Spillover Effects of Characteristics of Forecasting Tasks on Manager's Risk-Taking

Authors:

Jason Brown | Indiana University
Devon Erickson | Utah State University
Max Hewitt | University of Arizona*
Laureen Maines | Indiana University

Session 2 continued on next page

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Time	Topic Speakers (*Presenting Author)
3:00 - 4:35 pm SESSION 2 continued	<p>Paper #4: Does Enforcement Affect Peer Risk-Taking? Evidence from the Banking Industry</p> <p>Authors: Yadav Gopalan Indiana University Rebecca Hann University of Maryland Laurel Mazur University of Notre Dame*</p> <p>Paper #5: The Evaluation of Corporate Governance: Evidence from the Field</p> <p>Authors: Lauren Cunningham University of Tennessee* Christie Hayne University of Illinois at Urbana Champaign Terry Neal University of Tennessee Sarah Stein Virginia Tech</p>
4:35 - 4:45 pm	STRETCH BREAK
4:45 - 5:30 pm KEYNOTE	<p>INSIGHTS FROM RISK LEADERS ABOUT CHALLENGES AND OPPORTUNITIES</p> <p>Keynote Speaker: Yvette Connor Strategic Risk Services Leader Grant Thornton</p> <p>Yvette Connor is the National Strategic and Enterprise Risk Practice Leader at Grant Thornton where she works with a variety of client organizations nationwide and globally on strategic risk management issues. She also teaches risk management at the University of Colorado – Denver. Prior to joining Grant Thornton, Yvette was the Chief Risk Officer at Focal Point Data Risk after working for several years in global risk management consulting. Yvette will share her insights about the need for both quantitative and qualitative research to help advance our abilities to apply rigorous analytics to strengthen organizational resiliency and risk predictive capabilities.</p>
5:30 - 7:00 pm	RECEPTION at TowneBank Center

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THURSDAY, MAY 18TH

Time	Topic Speakers (*Presenting Author)
8:00 am	Transportation provided from the Renaissance Raleigh North Hills Hotel to TowneBank Center, NC State Carter Finley Stadium
8:45 - 10:30 am SESSION 3	<p>RISK GOVERNANCE CHALLENGES: RESPONDING TO EVOLVING STAKEHOLDER EXPECTATIONS Moderator: Christina Lewellen NC State University Practitioner Insights: Eric Mai Director of Enterprise Risk Management Delta Airlines</p> <p>In his role, he helps the airline navigate a whole host of emerging expectations that extend well beyond Delta's shareholders to include customers, over 80,000 employees, regulators (FAA, the SEC, others), and others. Many of those expectations relate to how Delta is navigating shifting expectations related to ESG. Eric will provide real-world insights to help provide context for the two Risk Challenges that will be the focus in the next two research sessions.</p> <p>Paper #6: Earnings Myopia and Private Equity Takeovers Authors: Paul Hribar University of Iowa Todd Kravet University of Connecticut Trent Krupa University of Arkansas*</p> <p>Paper #7: Passive Ownership and Aggressive Non-GAAP Reporting Authors: Kevin Chen Duke University* John Heater Duke University</p>
10:30 - 10:45 am	BREAK
10:45 - 11:45 am SESSION 4	<p>RISK GOVERNANCE CHALLENGES: NAVIGATING EMERGING ESG EXPECTATIONS Moderator: Carissa Malone NC State University</p> <p>Paper #8: Litigation Risk and Environmental Disclosure Decisions Authors: Scott Robinson University of Colorado Nikki Skinner University of Georgia Jasmine Wang University of Virginia*</p> <p>Paper #9: Climate-Related Uncertainty and Managerial Short-Termism Authors: Justin Blann Arizona State University Tyler Kleppe University of Kentucky*</p>
11:45 am - 12:00 pm	Symposium Closing Remarks followed by Transportation provided to Raleigh Durham International Airport (RDU) Rob Whited NC State University